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17264 U.S. PTO

Docket Number 4-31401A

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Address to: Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
MAIL STOP: PATENT APPLICATION

## UTILITY PATENT APPLICATION TRANSMITTAL AND FEE SHEET

Transmitted herewith for filing under 37 CFR §1.53(b)(1) is a **divisional** of prior Application No. 08/974,391, filed November 19, 1997.

Applicant (or identifier): CHANG ET AL.

Title: VECTORS FOR TISSUE-SPECIFIC REPLICATION AND GENE EXPRESSION

Enclosed are:

1. ☒ Specification (Including Claims and Abstract) - 65 pages
  2. ☒ Formal Drawings - 19 sheets
  3. Declaration and Power of Attorney
    - a. ☐ Newly executed (original or copy)
    - b. ☒ Copy from a prior application (signed or with indication that original was signed)
      - i. ☐ Deletion of Inventors  
Signed statement attached deleting inventor(s) named in the prior application
  4. ☒ Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the Declaration and Power of Attorney is supplied under Box 3b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
  5. ☐ Microfiche Computer Program (appendix)
  6. Nucleotide and/or Amino Acid Sequence Submission
    - ☐ Computer Readable Copy
    - ☒ Paper Copy (4 pages)
    - ☒ Statement Verifying Identity of Above Copies
  7. ☒ Preliminary Amendment with copy of restriction/election requirement from parent application
  8. ☐ Assignment Papers (Cover Sheet & Document(s))
  9. ☒ Application Data Sheet
  10. ☒ Information Disclosure Statement with PTO Form 1449
  11. ☐ Certified Copy of Priority Document(s)
  12. ☒ Return Receipt Postcard
  13. ☒ Other: Copy from parent application of Statement Under 37 CFR §3.73(b), Revocation of Power of Attorney/Power of Attorney and Change of Address from prior application.
- ☒ The right to elect an invention or species that is different from that elected in parent Application No. 08/974,391 in the event of a restriction or election of species requirement that is identical or substantially similar to that made in said parent application is hereby reserved.

Filing fee calculation:

☒ Before calculating the filing fee, please enter the enclosed Preliminary Amendment.

Basic Filing Fee							\$	750
Multiple Dependent Claim Fee (\$ 280)							\$	
Foreign Language Surcharge (\$ 900)							\$	
	For	Number Filed		Number Extra		Rate		
Extra Claims	Total Claims	59	-20	39	x	\$ 18	=	\$ 702
	Independent Claims	2	-3	0	x	\$ 84	=	\$ 0
TOTAL FILING FEE							\$	1452


☒ Please charge Deposit Account No. 19-0134 in the name of Novartis Corporation in the amount of \$1452. An additional copy of this paper is enclosed. The Commissioner is hereby authorized to charge any additional fees under 37 CFR §1.16 and §1.17 which may be required in connection with this application, or credit any overpayment, to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Please address all correspondence to the address associated with Customer No. 001095, which is currently:

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Please direct all telephone calls to the undersigned at the number given below and all telefaxes to (301) 258-4620.

Respectfully submitted,



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Date: June 24, 2003